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ACCOUNT NO. 23-0975

#4  
9-19-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : Confirmation No. 3873  
Mutsuyuki OKAYAMA et al. : Docket No. 2002\_0292A  
Serial No. 10/069,795 : Group Art Unit 2161  
Filed February 28, 2002 :  
COPYRIGHT PROTECTIVE DEVICE AND  
METHOD

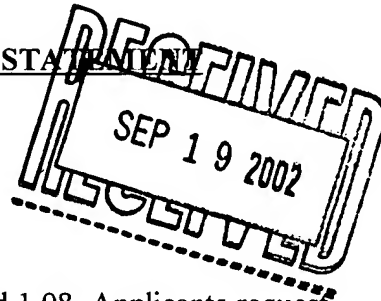
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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents,  
Washington, DC 20231



Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of [X] the references listed on attached form PTO-1449 and/or [] the additional information identified below in paragraph 3. A legible copy of each reference listed on the form PTO-1449 and each U.S. patent application listed below is enclosed, except a copy is not provided for each reference previously cited by or submitted to the Patent Office in prior parent application Serial No. \_\_\_\_\_.

1a. [X] This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, or

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

and thus no certification and/or fee is required.

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1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

(1) ☐ the certification of paragraph 2 below is provided, **or**

(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

**the certification of paragraph 2 below is provided, and**

**the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.**

2. It is hereby certified

a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or

b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.

4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:

- a. ☐ a full or partial English language translation submitted herewith,
- b. ☐ a foreign patent office search report (in the English language) submitted herewith,
- c. ☒ the concise explanation contained in the specification of the present application at pages 2 and 3,
- d. ☐ the concise explanation set forth in the attached English language abstract,
- e. ☒ the concise explanation set forth below or on a separate sheet attached to the reference:

Document AN covers the relevant content of the document "Gendai Angoriron Nyumon" discussed at line 8 of page 2 of the present specification, which document was not available.

5. ☐ A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Mutsuyuki OKAYAMA et al.

By



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May 24, 2002

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)

Date Submitted to PTO: May 24, 2002

ATTY DOCKET NO.  
2002\_0292A

MAY 24 2002

SERIAL NO.  
10/069,795APPLICANT  
Mutsuyuki OKAYAMA et al.FILING DATE  
February 28, 2002GROUP  
2161

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	AD							
	AE							
	AF							
	AG							
	AH							

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## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

AI	"Content Protection for Recordable Media Specification - Introduction and Common Cryptographic Elements", Revision 0.92, April 18, 2000, pp2-2 to 3-5.
AJ	"Content Protection for Recordable Media Specification - DVD Book", Revision 0.92, April 18, 2000, pp. 4-4 to 4-6.
AK	"Content Protection for Prerecorded Media Specification - Introduction and Common Cryptographic Elements", Revision 0.91, April 18, 2000, pp. 2-1, 2-2 and 3-4 to 3-6.
AM	"Content Protection for Prerecorded Media Specification - DVD Book", Revision 0.91, April 18, 2000, pp. 2-2, 2-3 and 2-6 to 2-8.
AN	"Introduction to Cryptography", Johannes A. Buchmann, July 2001, pp. 116-129 and 143-159.
AO	"Content Protection System for Recordable Media", Makoto TATEBAYASHI et al., The transactions of Engineering Science Society, IEICE 2000, September 30, 2000, pp. 367-368.

EXAMINER

DATE CONSIDERED